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Modeling the spatio-temporal dynamics and interactions of households, landscapes, and giant panda habitat

Marc A. Linderman^{a,*}, Li An^a, Scott Bearer^a, Guangming He^a,
Zhiyun Ouyang^b, Jianguo Liu^a

^a Department of Fisheries & Wildlife, Michigan State University, East Lansing, MI 48824, USA

^b Department of Systems Ecology, Research Center for Eco-Environmental Sciences, Chinese Academy of Sciences, Beijing, China

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Abstract

Human modification of land-cover has been a leading cause of floral and faunal species extirpation and loss of local and global biodiversity. As natural areas are impacted, habitat and populations can become fragmented and isolated. This is particularly evident in the mountainous areas of southwestern China that support the remaining populations of giant pandas (*Ailuropoda melanoleuca*). Giant panda populations have been restricted to remnants of habitat from extensive past land use and land-cover change. Households are a basic socio-economic unit that continues to impact the remaining habitat through activities such as fuelwood consumption and new household creation. Therefore, we developed a spatio-temporal model of human activities and their impacts by directly integrating households into the landscape. The integrated model allows us to examine the landscape factors influencing the spatial distribution of household activities and household impacts on habitat. As an example application, we modeled household activities in a giant panda reserve in China and examined the spatio-temporal dynamics of households, the landscape, and their mutual interactions. Human impacts are projected to result in the loss of up to 16% of all existing habitat within the reserve over the next 30 years. In addition, we found that accessibility largely controls the spatial distribution of household activities and considerable changes in management and household activities will be required to maintain the current level of habitat within the reserve.

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Keywords: Landscape; Households; Giant panda; Habitat; Model; Human impacts

1. Introduction

Appropriation of natural areas through urban and agricultural expansion has drastically altered much of the land surface (Vitousek et al., 1997; Rutledge et al., 2001). Modification of habitat through less intense land use such as fuelwood collection has also re-

* Corresponding author. Present address: Department of Geography, University of Louvain, Place Pasteur 3, 1348 Louvain-la-Neuve, Belgium. Tel.: +32 10472871; fax: +32 10472877.

E-mail address: linderman@geog.ucl.ac.be (M.A. Linderman).

7 sulted in drastic changes in natural systems (Liu et al.,
8 2001). These changes have enormous implications for
9 ecosystem processes, biodiversity, and species persistence
10 (Ceballos and Ehrlich, 2002). This is particularly
11 relevant for the conservation of the giant panda (*Ailu-
12 ropoda melanoleuca*). Habitat destruction and poaching
13 have reduced the wild population to approximately
14 1000 pandas (Schaller et al., 1985). Many studies have
15 been conducted on panda biology and behavior (e.g.
16 Schaller et al., 1985). Most of the studies are empiri-
17 cal or field based. There have been also a number of
18 modeling studies, which have simulated panda pop-
19 ulation dynamics (Zhou and Pan, 1997), panda rela-
20 tionships to bamboo dynamics (Reid et al., 1989; Wu
21 et al., 1996; Carter et al., 1999), and household prefer-
22 ences and characteristics related to panda habitat (An et
23 al., 2001, 2002). However, few studies have examined
24 the factors influencing the spatio-temporal dynamics
25 of households, their impacts on giant panda habitat,
26 and their mutual interactions (Liu et al., 1999). To bet-
27 ter understand household impacts on giant panda habi-
28 tat, we developed a model in which the interactions
29 between households, the landscape, and giant panda
30 habitat could be studied and based on the analyses pro-
31 vided practical information for conservation and man-
32 agement planning.

33 Much of human land-cover change is carried out at
34 the household level as households are basic decision
35 and consumption units (Liu et al., 2003). The rapid
36 increase in the number of households increases the de-
37 mand for more resources (Liu et al., 2003). Coupling
38 household activities with natural processes is therefore
39 essential to accurately model human impacts on natural
40 systems, to increase our understanding of human inter-
41 actions with landscapes, and to provide viable options
42 for mitigating future impacts. Various approaches to
43 modeling spatially explicit human activities and their
44 impacts on natural systems have been developed, in-
45 cluding statistical techniques (Mertens and Lambin,
46 1997), agent-based models (Berger, 2001; An et al.,
47 submitted for publication), and cellular approaches
48 (Baltzer et al., 1998). Statistical models have provided
49 detailed information of the spatial dynamics of sys-
50 tems, but are often not conducive to generic frame-
51 works (Lambin, 1994). More complex agent-based ap-
52 proaches allow increasingly detailed human interac-
53 tions with each other and the environment in which
54 they live. However, building descriptive agent-based

55 models is often difficult given the complexity of the
56 models and human–environment systems (Couclelis,
57 2001). Cellular models, discreet in time and space, al-
58 low for simplified modeling relationships and provide
59 a structured environment in which various interactions
60 and levels of detail can be studied (Benenson, 1998).

61 The overall goal of the model, Household And Land-
62 scape Integration Model (HALIM) was to develop
63 a generalized modeling approach in which spatio-
64 temporal household processes could be integrated into
65 realistic landscapes. For this study, we used a generic
66 spatially explicit cellular model to examine the interac-
67 tions of households and panda habitat through their mu-
68 tual relationships with the landscape. Using a generic
69 cellular framework facilitated the use of detailed digital
70 data to accurately describe the landscape and house-
71 hold characteristics while providing a means to inte-
72 grate inherently different natural and household pro-
73 cesses. Furthermore, this flexibility provides a practi-
74 cal and accessible framework in which varying aspects
75 and complexity of socio-economic and natural systems
76 and their interactions can be integrated.

77 As a preliminary study, we used HALIM to evaluate
78 the spatio-temporal effects of landscape-level house-
79 hold activities on giant panda habitat in southwest-
80 ern China by integrating households, forest cover, and
81 wildlife habitat through their mutual relationships with
82 the landscape. This allowed us to examine the individ-
83 ual spatio-temporal dynamics and the various interac-
84 tions between the landscape, household activities, and
85 wildlife habitat. Our specific aims of this study were
86 to examine the influence of landscape-level household
87 characteristics on the quantity and spatial distribution
88 of panda habitat and to determine the landscape fac-
89 tors influencing these household activities. Using these
90 results, we examined possible consequences of vari-
91 ous policy scenarios, provided suggestions to mitigate
92 damage to the remaining panda habitat, and identified
93 important landscape, household, and habitat interac-
94 tions for future modeling efforts.

95 2. Methods

96 2.1. Study area

97 Our field study was conducted in the Wolong Na-
98 ture Reserve in southwestern China (Fig. 1), located be-

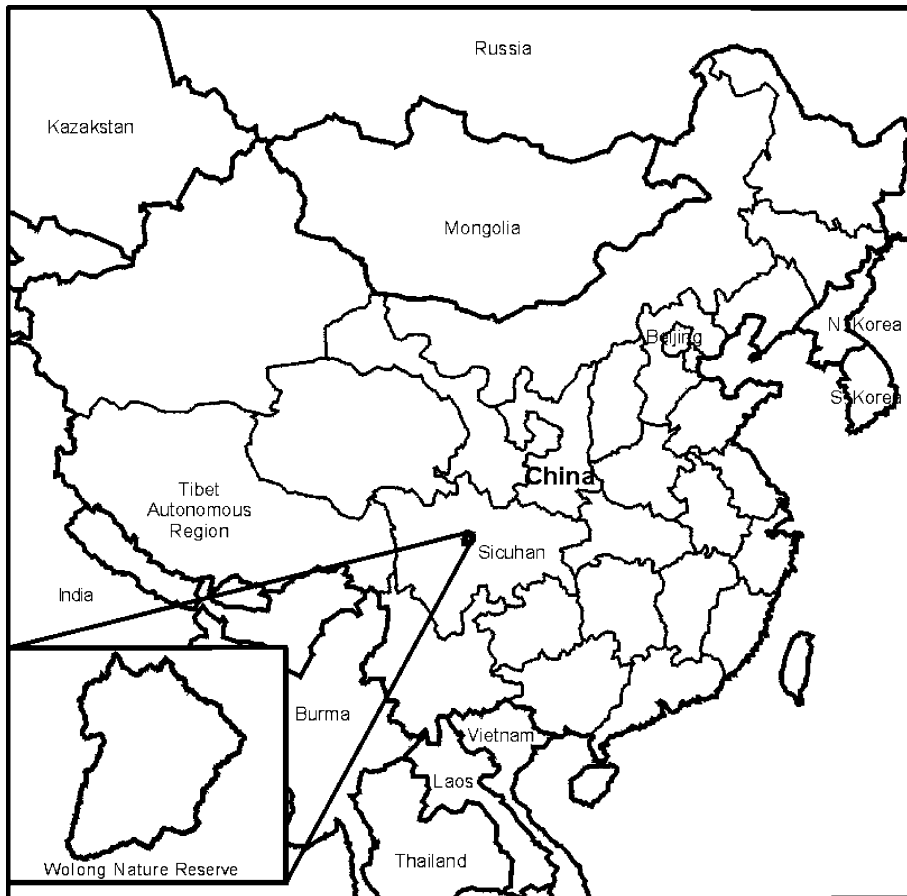


Fig. 1. Wolong Nature Reserve lies in the Qionglai Mountains between the Tibetan plateau and Sichuan basin.

99 tween $102^{\circ}52'$ and $103^{\circ}24'E$ and $30^{\circ}45'$ and $31^{\circ}25'N$.
 100 Wolong is one of the largest reserves (covering approx-
 101 imately 2,00,000 ha) dedicated to giant panda con-
 102 servation and is estimated to contain about 10% of
 103 the remaining wild panda population (Zhang et al.,
 104 1997). Approximately 40% of the reserve is currently
 105 forested. Elevations range 1200–6525 m creating sev-
 106 eral climatic zones and consequently high biological
 107 diversity. The distribution of overstory vegetation in
 108 the reserve is related to the elevation.

109 Most forests in the reserve were logged (either clear
 110 cut or selectively cut) from 1916 until the reserve was
 111 established in 1975, reaching peak intensity between
 112 1961 and 1975 (Schaller et al., 1985). Commercial log-
 113 ging typically resulted in relatively large clearcuts dis-
 114 tributed throughout the reserve. Logging has been of-

115 ficially banned in the reserve since 1975; however, to
 116 varying degrees illicit logging does continue (M. Lin-
 117 derman, personal observation). Other human activities
 118 have also been a major contribution to forest loss and,
 119 consequently, to the spatial distribution of habitat (Liu
 120 et al., 1999, 2001).

121 In 2001, approximately 4440 local residents in about
 122 1000 households resided within the reserve. The ma-
 123 jority of these residents are farmers with the primary
 124 economic activities consisting of farming maize and
 125 vegetables, raising livestock such as pigs and yaks, and
 126 collecting wild herbs. A household usually relies on fu-
 127 elwood for heating, cooking, and livestock feed prepa-
 128 ration (An et al., 2001). Selective logging for household
 129 fuelwood collection typically changes the species com-
 130 position in the overstory and reduces canopy cover until

131 all overstory vegetation is removed. Since 1974, immi- 177
132 gration and new household creation have largely been 178
133 dictated by local policy with immigration restricted by 179
134 marriage and new household creation limited or inad- 180
135 vertently encouraged through various policies. House- 181
136 holds have traditionally focused on subsistence agri- 182
137 culture, but increasing access to markets has provided 183
138 some cash crop opportunities. 184

139 2.2. Data and model parameterization

140 Several sources of data were used as model input or 185
141 used to parameterize and validate the model. Satellite 186
142 data and topographic maps were resampled to a pixel 187
143 size corresponding to the landscape grid and used to de- 188
144 scribe the abiotic features and the distribution of house- 189
145 hold activities and vegetation throughout the reserve. 190
146 Socio-economic and demographic data were collected 191
147 from local government agencies and our household sur- 192
148 veys conducted from 1998 to 2001 (An et al., 2001) 193
149 to determine fuelwood collection, household locations, 194
150 and household creation rates. Literature on panda be- 195
151 havior and landscape analyses of habitat was used to pa- 196
152 rameterize the habitat sub-model (Schaller et al., 1985; 197
153 Ouyang et al., 1996; Liu et al., 2001). 198

154 Abiotic information was derived from topographic 202
155 maps of the reserve. A Digital Elevation Model (DEM) 203
156 was interpolated from digitized 100-m contours. Slope 204
157 and aspect data were derived from the DEM. Informa- 205
158 tion on the distribution of forests was obtained from 206
159 the classification of four dates (1965, 1974, 1987, and 207
160 1997) when remote sensing data were obtained. The 208
161 1965 data are Corona stereo-pair photographs acquired 209
162 as part of the Corona photo-reconnaissance satellite 210
163 project (USGS Eros Data Center, Sioux Falls, South 211
164 Dakota). The 1974 data are Landsat MSS images, and 212
165 the 1987 and 1997 data are Landsat TM images. To ac- 213
166 count for the spectral and spatial differences between 214
167 the data, each image was visually interpreted into for- 215
168 est and non-forest areas (for classification details see 216
169 Liu et al., 2001). 217

170 Uncertainty in the 1965 stand volume of the various 218
171 forest types posed the most difficult parameterization 219
172 problem. While basic coverage information was avail- 220
173 able from satellite photographs, data on the average 221
174 volume throughout the reserve were scant. Quantitative 222
175 information dating back nearly 40 years is either diffi- 223
176 cult to obtain or non-existent. Schaller et al. (1985) sug-

gest that much of the reserve was commercially logged 177
from 1916 until 1975. Measurements taken in the late 178
1990s indicated much of the lower altitude forests to 179
be well below old-growth volumes. Average volumes 180
for broadleaf forests below 2600 m were approximately 181
80 m³/ha. It is likely that these forests were the first to 182
be harvested in the first half of the century and have 183
regrown to current volume levels. 184

185 Based on regrowth data for the broadleaf forests 186
187 in Wolong, we estimated the average volume for 188
1965 to be approximately 45 m³/ha. Stand volume 189
for subalpine conifers was on average approximately 190
300 m³/ha. Subalpine stand volume was high enough 191
such that variations in estimates would not significantly 192
influence the model results. Forest regrowth was in- 193
cluded in the model to allow for previously logged re- 194
gions to regenerate and the addition of biomass and 195
regrowth in selectively logged cells. Separate regrowth 196
models were developed for each forest type based on 197
species composition, stand age, and altitudinal zone. 198
Model parameters were derived from over 30 plots dis- 199
tributed throughout the reserve (Liu et al., 1999), and 200
approximation of species regrowth and yield models 201
was derived from the data of the Sichuan Department 202
of Forestry (Yang and Li, 1992). 203

204 A household survey was conducted from 1998 to 205
206 2001 and included 220 of the households within the 207
208 reserve (An et al., 2001). Households were queried 209
210 on fuelwood use, fuelwood collection, agricultural ac- 211
212 tivity, household creation, and other associated socio- 213
214 economic and demographic information. Additional 215
216 socio-economic and demographic information was ob- 217
218 tained from local government records. Census infor- 219
220 mation was obtained from each township within the 220
221 reserve. Local governments also maintain information 221
222 on land allocated to each household. From the surveys 222
223 and census data, it was found that each household main- 223
224 tains on average 0.7 ha of agricultural land. Including 224
the area of the physical house, garden area, and other 225
buildings, the typical total area is approximately 0.8 ha. 226
Therefore, the scale of the model was chosen to be 90 m 227
× 90 m (0.81 ha). New households have been added to 228
the reserve at a rather steady number each year be- 229
tween 1965 and 1997. On average, approximately 24 230
new households were created each year. 231

232 We measured the location of each household 233
234 through the use of field measurements or Ikonos 1- 234
m resolution satellite imagery. Ikonos imagery ac-

quired in 2000 by SpaceImaging was georeferenced with ground control points measured using a Global Positioning System with sub-meter accuracy (Trimble Pathfinder Pro XRS receiver and Community Base Station). We then identified households in the images and recorded the location. We used all households created on or before 1965 to create the initial distribution of households to correspond to the initial 1965 forest cover information.

Fuelwood use was calculated based on a survey of over 50 households and physical measurements of annual use (An et al., 2001). The volume of wood varied between 8 and 30 m³ and averaged 15 m³. A base annual volume of wood used by each household in the model was then 15 m³. We derived preference for fuelwood collection and household creation sites by comparing DEM and slope coverages, and house locations and fuelwood sites. Distances between household locations to fuelwood collection sites varied from 50 m to over 5 km. The average distance for 100 households surveyed was approximately 500 m. Households preferred to collect fuelwood in flat areas (<20° slope) and had a decreasing probability relative to elevation.

Behavioral studies have described panda habitat as a function of forest cover, slope, and altitude (Schaller et al., 1985; Ouyang et al., 1996; Liu et al., 2001). Therefore, we determined habitat suitability using a multiplicative combination of the three factors (forest cover, altitude, and slope) available for the 30-year time span (Liu et al., 2001). Because non-forested areas are considered unsuitable habitat for the giant panda, forest/non-forest classifications were multiplicative factors of 1 or 0, respectively. Slope and altitude multiplicative factors were proportional to the observed use by pandas.

2.3. Model description

Our model (HALIM) was developed using SELES (Spatially Explicit Landscape Event Simulator) (Fall and Fall, 2001; Fall et al., 2001). SELES is a high-level programming language that facilitates modeling of the temporal and spatial dynamics of gridded landscapes. SELES also allows the incorporation of georeferenced raster data, the definition of systems that interact on gridded landscapes, and the temporal and spatial dynamics of these systems. SELES provides the flexibility to incorporate these various systems through

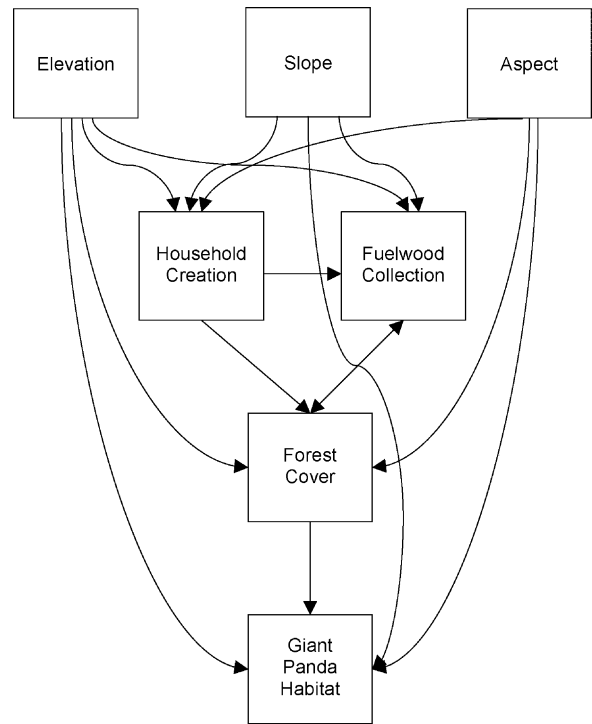


Fig. 2. A conceptual flow schematic diagram of the model.

sub-models and individual modeling aspects of Markov chains, cellular automata, percolation models and others according to the process being modeled.

HALIM includes four sub-models: fuelwood collection, household creation, forest regrowth, and panda habitat. The resulting impacts of the distribution of household activities are integrated directly into giant panda habitat models and allow model predictions to be measured in terms of changes to landscape indices of panda habitat. The sub-models and their interactions are shown in Fig. 2. Household activities and forest dynamics are influenced by the abiotic characteristics of the landscape. Each of the household activities influences the spatial distribution of forest cover. The forest regrowth sub-model allows for forest re-establishment and annual growth of non-climax forests. Finally, the suitability of giant panda habitat is determined from forest cover along with abiotic factors (Liu et al., 2001).

The landscape was divided into a regular lattice composed of 90 m × 90 m grid cells. For this model, the probability of the initiation of most sub-model events (e.g. fuelwood collection, household creation, etc.) oc-

curing at each grid cell was determined by the pixel values (data layer values) of the cell and, depending on the sub-model, surrounding cells. The number of sub-model events is determined by the sub-model parameters with the location of the event stochastically determined by a relative cell probability (e.g. a cell with a probability of 0.5 has twice the probability of the occurrence of a landscape event compared to a cell with a 0.25 probability, but does not have a 50% probability of an event occurrence). Depending on the process of interest the model also allows for landscape events to spread to neighboring cells (e.g. if a cell does not contain sufficient fuelwood for the annual collection of a household's fuelwood needs, necessary fuelwood collection can take place in a neighboring cell).

The sub-models are described below along with examples of the parameters and probability functions:

- *Fuelwood collection* – It was assumed that household residents collect fuelwood based on availability, accessibility, and previous fuelwood collection activity. Typically, fuelwood is collected around the household. As these areas are diminished, foraging extends to the neighboring areas characterized by easy accessibility (Liu et al., 2001). Many residents have been forced to travel several kilometers to collect annual stocks of fuelwood (An et al., 2001). Accessibility is characterized in this model by the distance to collection site, slope, and elevation and is defined as a cost function relative to the distance to roads and main paths and topographic variability (i.e. slope and elevation difference along the path to the cell location). The probability function was a linearly decreasing function of increasing cost:

$$P(\text{fuelwood}|\text{cost}) = \left(1 - \left(\frac{\text{Cost}}{\text{Maximum cost}}\right)\right)$$

Forest cover and average yield per hectare determined availability. Households are also more likely to return to the same cell location, if sufficient forest volume exists, or neighboring cells of previous fuelwood extraction. Therefore, a higher probability of collection was assigned to cells previously harvested and to neighboring cells. The overall probability of fuelwood extraction for each forested cell is then a multiplicative combination of these factors.

- *Household creation* – The number of new households each year was predetermined based on potential policy and socio-economic impacts. For ex-

ample, past trends have been relatively stable. Policies, however, have been shown to affect household creation. Therefore, a range of household creation rates about the past trend was examined. Each new household was presumed to establish its own agriculture land, clearing the forest area or occupying previously deforested area. The location of each new household was dependant on suitable agriculture land and proximity to transportation routes and other households. The household sub-model was, therefore, determined by three parameters: distance–cost factor to transportation, abiotic factors, and proximity to other households. The precise X and Y coordinates of the actual residence were not included in this model. Rather, households, including the physical residence, agriculture land, garden area, and various other buildings, were presumed to occupy cells of the landscape. Suitable agriculture areas are based on abiotic factors: slope, aspect, and elevation. While agriculture activity occurs on slopes up to 40°, low-slope areas are preferred. Preference for low-elevation areas was also considered. For example, based on survey data probabilities for household placement based solely on elevation were measured as:

$$P(\text{household}|e) = \begin{cases} 0.00 (e > 2500) \\ 0.08 (2250 < e \leq 2500) \\ 0.82 (1750 < e \leq 2250) \\ 1.00 (e \leq 1750) \end{cases}$$

In areas of higher elevation (e), preference was given to slopes facing south to maximize sunlight. Households were also more likely to develop land adjacent to previously established houses and within short distances (typically less than 2 km) of major transportation routes.

- *Forest cover* – Four forest categories (non-forest, evergreen broadleaf, deciduous broadleaf, and sub-alpine conifer) were identified throughout the reserve based on remote sensing, elevation, and species distribution (Schaller et al., 1985). Initial stand volume was estimated for each elevation zone based on approximate time and intensity of commercial logging activity. Each forested cell was assumed to increase in biomass and each non-forested pixel had a probability to re-establish based on proximity to other forest pixels and time since deforestation. Regrowth models were derived for each of the pre-

dominant species within each elevation zone from published and empirical data (Yang and Li, 1992). Regrowth is calculated based on species and approximations of logistic regrowth curves of total volume. An example of the calculation is given below:

$$V(t, V_{\text{an}}, V_{\text{max}}) = \begin{cases} 0.0 & (t < t_{\text{lag}}) \\ V + V_{\text{an}} & (t > t_{\text{lag}} \text{ and } V < V_{\text{max}}) \end{cases}$$

where t is the time since harvest, t_{lag} is a normally distributed lag time since harvest until re-establishment, V_{an} is the annual volume increment, and V_{max} is the maximum volume according to species type. Upper asymptotic limits on volume were controlled by stand maximum values rather than time due to concurrent fuelwood collection.

- **Habitat suitability** – The final habitat classification was a categorized suitability measure of four classes termed highly suitable, suitable, marginally suitable, and unsuitable (Liu et al., 2001). The impacts from household activities are reflected in the habitat suitability model as impacts from fuelwood activity and agriculture development. Measures of panda habitat quantity and suitability allow analysis of the temporal and spatial dynamics of, the influence of household characteristics on, and future giant panda habitat.

Landscape events (e.g. fuelwood collection, forest regrowth) occurred on an annual time frame. The first landscape event in the model each year is the establishment of new households and associated agricultural development. Each household then collects its annual fuelwood volume. At the end of the year, forest regrowth occurs for each forested cell and the suitability of panda habitat is updated.

2.4. Model validation and sensitivity analyses

Model validation and sensitivity analyses were based on simulations started in 1965 with the initial distribution of forest based on the classification of forest/non-forest categories from the 1965 Corona photographs. The original distribution of households was based on all households established prior to or in 1965. The sensitivity and validation simulations were run for 32 years to correspond to the latest remote sensing data available (1997). We measured sensitivity through varying individual parameters such as the rate of new household creation, fuelwood use, and forest charac-

teristics and the relative influence of each individual parameter on the model output. Validation was done through comparison of model output over this time to measured habitat and household distributions.

We conducted sensitivity analyses for the household and fuelwood collection sub-models. We examined the sensitivity of the household sub-model to each of its components (abiotic, proximity, and cost function) by comparing scenarios excluding components or varying parameter estimations and the measured household distribution in 1997. This was done because we wanted to show the overall influence each function had on the selection of new households and because some functions could not be varied systematically (e.g. abiotic influences were based on conditional probabilities). We measured accuracy and calculated landscape metrics based on the average of 20 simulations. We also conducted systematic analyses of sensitivity of individual parameters for the fuelwood sub-model, such as the propensity to return to previous fuelwood collection sites and distance to fuelwood collection sites. Since parameterization of stand volumes for broadleaf forests below 2600 m contained relatively large uncertainty, several average stand volumes for the broadleaf forests were tested, including 30, 45, 60, 75, and 90 m³/ha.

The accuracy of the predicted distribution of households was measured through comparison of predicted locations of households in 1997 to measured locations. Precise cell-by-cell prediction, however, was not the intention of this model. Foremost, the model is stochastic. In addition, households do not occupy all potential agricultural areas within the reserve. This leads to areas with similar probabilities available for household establishment. However, as the spatial arrangement of households may have an impact on habitat, particularly crucial secondary habitat, we also examined the percent of predicted households falling in close proximity (1, 2, and 3 cells) of measured households.

Impacts from fuelwood collection were measured by comparison of predicted and measured impacts to forest cover and habitat. Again, we did not expect exact correspondence between the model predictions and the measured distributions. Collections sites are, to a degree, stochastically chosen both by the model (i.e. as with households, not all potential fuelwood sites are chosen) and households (i.e. some degree of household decisions is unpredictable regardless of information available). In addition, the natural variability of the

475 forests was not fully captured in the visual classifica-
476 tions (i.e. the visual interpretation of forest distribution
477 did not include all forest gaps and edge complexity at
478 a 90-m resolution) and illicit logging activities not in-
479 cluded in the model make a direct accuracy assessment
480 difficult.

481 To minimize the effect of natural and other influ-
482 ences on the accuracy assessments, we limited anal-
483 yses to regions within 5 km of the current household
484 distribution. This distance corresponds to the approxi-
485 mate maximum distance residents travel to collect fu-
486 elwood. Within the 5 km buffers, we used three valida-
487 tion methods: visual appraisals of multitemporal data;
488 direct comparison to a supervised classification; and a
489 comparison between landscape indices. We compared
490 predicted fuelwood impacts on forest cover to visual
491 classifications of forest cover from 1974 to 1997 satel-
492 lite imagery (Liu et al., 2001). We compared measure-
493 ments of the distribution of households and digital clas-
494 sifications of forest cover as measured in 1997 to final
495 outputs from the model. Digital classification of the
496 1997 forest cover was possible with extensive ground
497 sample data and provided a more detailed snapshot of
498 the distribution of forest cover. Accuracy is reported
499 as the percentage of predicted cells that correspond to
500 measured cells (e.g. predicted non-forest versus mea-
501 sured non-forest cells). This ignores possible omission
502 errors and was used because of the difficulty in distin-
503 guishing natural variability and human impacts (e.g. il-
504 licit logging) on forest cover from household activities
505 even within 5 km of the households. Visual compar-
506 isons of model predictions and measured forest cover
507 change are shown for comparison between commission
508 and omission errors.

509 In addition, comparisons were made between the
510 quantity of forest area and disturbed areas and land-
511 scape metrics such as patch size, shape, and complex-
512 ity. Given the difficulty in distinguishing between tim-
513 ber logging, fuelwood collection, and natural variabil-
514 ity in forest cover, simple accuracy comparisons of the
515 model predictions relative to the measured landscape
516 (particularly those from the detailed classification) do
517 not provide a complete picture. The impacts measured
518 from simulations were also reported as the landscape
519 indices relative to the impact of interest (e.g. household
520 distribution and forest cover). Indices used include total
521 number of patches, mean patch size, corrected perime-
522 ter to area (p/a) ratio (Baker and Cai, 1992) describ-

ing patch compactness, and connectivity between patch
centroids (Forman and Godron, 1986) that describes
clustering of patches.

2.5. Household impacts

To examine the relative influences of different
household conditions on the landscape, a variety of
scenarios were run from 1965 until 2030. Each sce-
nario was started using 1965 land-cover and house-
hold data. From 1965 to 1997, we based the model
parameters on measured values. We then varied model
parameters for 1997–2030 to examine the impacts of
possible changes. These scenarios represent situations
where new policies were introduced after 1997. Param-
eters we examined included fuelwood consumption per
household and the household growth rate (or immi-
gration/emigration rate). The length of the simulations
was chosen based on the reliability of the model over
the previous 32 years and to permit sufficient time to
compare various scenarios and predict future impacts.
We compared model scenarios based on impacts to gi-
ant panda habitat as deforestation from fuelwood and
household construction removed habitat.

These scenarios included changes in fuelwood
consumption levels of 30, 15, 10, 5, and 0 m³/
year/household and household growth rates of 36, 24,
12, 0, –12, and –24 new households created or re-
moved each year after 1997, as well as combinations of
these parameters. We chose these levels to reflect possi-
ble future household characteristics resulting from new
policies and management efforts such as subsidies, re-
strictions, and/or increased accessibility to electricity.
For example, efforts to limit fuelwood collection and
reclaim agriculture land were initiated in 2000. Sub-
sidies have been offered in exchange for maintaining
forests. The administration has also attempted to re-
strict the location and quantity of fuelwood collection.
Electricity prices are also currently unaffordable for
most local farmers, particularly for heating and cook-
ing purposes. Affordable and consistent alternative en-
ergy sources may influence fuelwood use in the future
(An et al., 2002). Each of these or the combination
of these changes may provide an incentive to reduce
fuelwood use. In addition, efforts to encourage emi-
gration out of the reserve are being instituted poten-
tially decreasing the number of households. However,
there is an increasing preference by younger adults to

569 establish new households, and in response to subsidy
570 opportunities, new households have actually recently
571 increased at much higher rates than in the past. There-
572 fore, to reflect the possible range of values, we chose
573 fuelwood consumption levels ranging from the current
574 maximum known household consumption (double the
575 current average) to no fuelwood use. We also exam-
576 ined household creation rates varying from a 50% in-
577 crease in household establishment to a net emigration
578 of households to reflect policy influences on household
579 creation over the next 30 years.

580 3. Results

581 3.1. Model validation and sensitivity

582 To examine the overall influence of the household
583 sub-model parameters (e.g. topography, distance to
584 transportation, and proximity to other households), sev-
585 eral variations of the household sub-model were com-
586 pared. We could not do a typical sensitivity test for
587 this sub-model as some of the parameters were em-
588 pirical look-up tables. Therefore, to examine the in-
589 fluence of each parameter, model outputs were com-
590 pared for several combinations of sub-model param-
591 eters. For example, the household sub-model includ-
592 ing all three hypothesized parameters (abiotic, dis-
593 tance, and proximity) (Fig. 3a) resulted in approxi-
594 mately the same number of patches and similar p/a
595 ratio as the measured households. This sub-model also
596 led to a 44% larger mean patch size, and slightly
597 higher connectivity compared to the measured dis-
598 tribution (Table 1). Excluding abiotic preferences re-
599 sulted in 71% more patches of households (Table 1)
600 and caused some households to be placed in re-
601 gions of atypical topographic relief (e.g. areas of ex-
602 treme slope) (Fig. 3b). Excluding the distance and to-
603 pographic variation from main transportation routes
604 yielded a wide distribution of households (Fig. 3c).
605 The number of patches was more than three times the
606 measured distribution. Mean patch size and p/a ratio
607 were both considerably lower (Table 1). And, the lack
608 of a proximity factor resulted in decreased clumping
609 of households (low connectivity), smaller patch size
610 and an increase in the number of patches (Table 1)
611 relative to the measured distribution of households
612 (Fig. 3d).

Accuracy in terms of predicted household locations
613 agreeing with measured cell locations of household dis-
614 tribution varied from 20 to 27% (Table 2). Incorpor-
615 ating all of the parameters hypothesized to influence
616 household placement resulted in an accuracy of 27, 68,
617 and 82, and 88% for predicted households within 0,
618 1, 2, and 3 cells from measured households (Table 2).
619 This suggests that the model was predicting households
620 essentially within the same areas as those measured
621 to also contain households. Not including the distance
622 function yielded the lowest accuracy of 63% for pre-
623 dicted households within 3 cells of measured house-
624 holds. The accuracy was 80% when a preference to
625 create new households next to existing households was
626 not included. Excluding the selection based on abiotic
627 factors (i.e. slope and elevation) achieved an accuracy
628 of 81% within 3 cells.
629

Sensitivity analyses conducted for each of the fu-
630 elwood parameters showed influences from variations
631 in the distance and proximity factors (Table 3). Relax-
632 ing the tendency for households to collect fuelwood
633 from previously cleared areas led to more fragmenta-
634 tion and is reflected in the landscape metrics. Variation
635 of the proximity factor three times more likely to re-
636 turn to previous sites resulted in 35% fewer patches
637 and 54% larger patch sizes. Reducing the proximity
638 factor three times resulted and 52% more patches and
639 34% smaller patch size (Table 3). In addition, perimeter
640 and connectivity indices show increasing clustering as
641 the proximity factor is increased. Varying the distance
642 cost factor by 20% resulted in similar results. Easing
643 the influence of the distance factor generated more dis-
644 persed impacts occurring in smaller patches. This is
645 seen in the patch characteristics with more and smaller
646 patches and decreased p/a ratios and diminished con-
647 nectivity (Table 3). Increased probability of using near
648 areas conversely increased patch size, decreased patch
649 number, and increased connectivity between patches.
650 Patch size varied by 17.9–33.7% and patch number var-
651 ied by 24.1 and 20.5% for a 20% decrease and increase
652 in the cost factor, respectively (Table 3).
653

Trends in deforestation relative to initial stand vol-
654 ume were decreasing area of impact and reduced frag-
655 mentation since more volume was available in pre-
656 ferred collection areas (Table 3). While the outputs us-
657 ing each of the five initial volumes shown in Fig. 5 do
658 seemingly conform largely to expectations, increased
659 peripheral impacts occur at both increased initial vol-
660

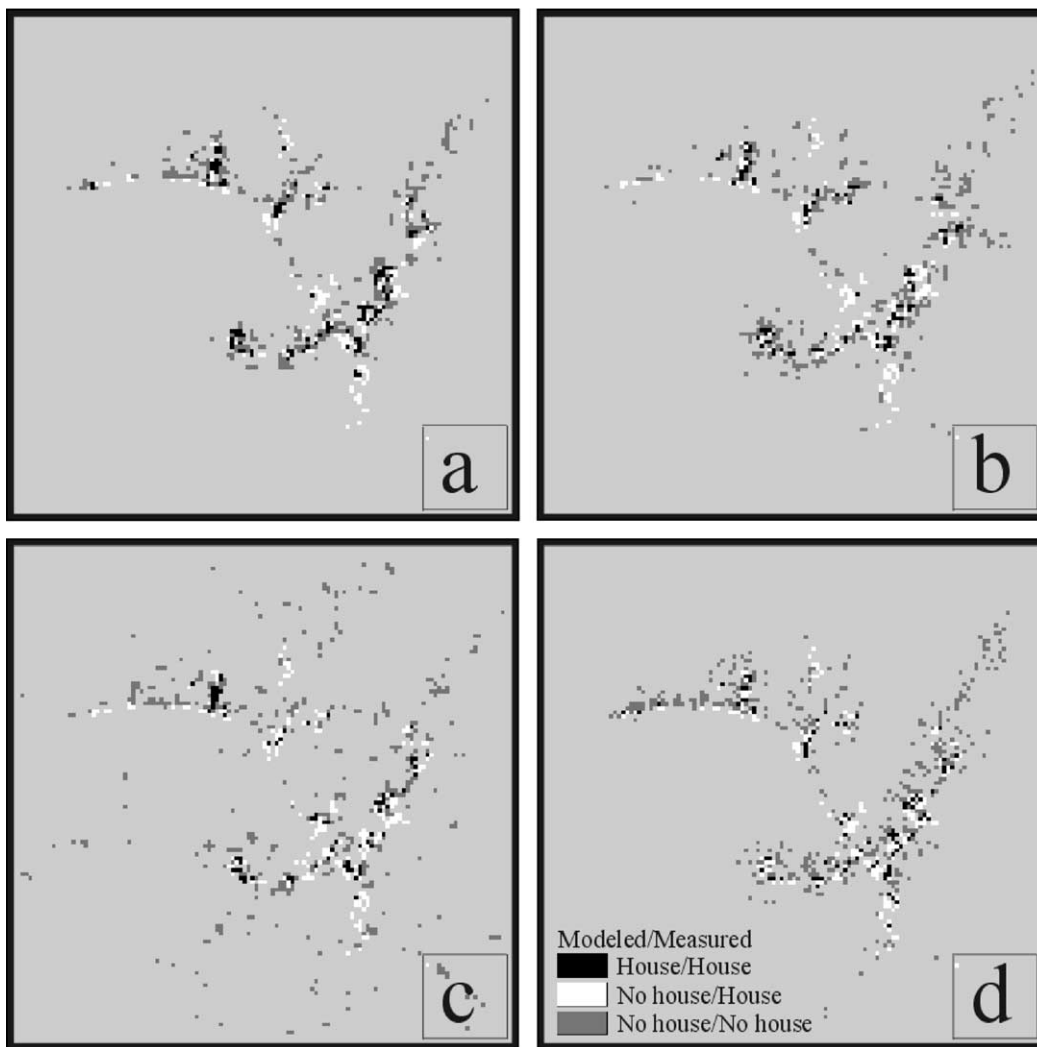


Fig. 3. Comparisons of the influence of the three multiplicative factors contained within the household sub-model. Accuracy of each scenario is shown relative to the measured households with corresponding predicted households and measured households shown in black, incorrectly predicted households are shown in dark gray, actual households where no households were predicted are shown in white: (a) shows the predicted household distribution in 1997 including all factors relative to the actual distribution; (b) is without abiotic preferences; (c) without cost factors; and (d) without proximity influences.

Table 1
Landscape characteristics of the measured households in 1997 (Households 1997) compared to model scenarios

	Number of patches	Mean patch size (ha)	<i>p/a</i> ratio	Connectivity
Households 1997	94.00	40931	1.49	0.046
All parameters	110.35	59101	1.50	0.053
No proximity factor	261.00	24905	1.41	0.015
No abiotic factor	161.90	40229	1.46	0.034
No cost factor	280.60	23152	1.29	0.009

Values are averages of 20 simulations for each scenario.

Table 2

Accuracy of the predicted household locations for the model scenarios relative to the household locations in 1997

	Cells			
	0 ^a	1 ^b	2 ^b	3 ^b
No cost factor	20.6 ± 1.3 ^c	47.3 ± 2.4	57.1 ± 2.6	63.0 ± 2.4
No proximity factor	21.2 ± 1.1	54.3 ± 1.7	70.6 ± 1.3	79.8 ± 1.4
No abiotic factor	22.4 ± 1.4	55.8 ± 2.4	71.8 ± 2.0	81.2 ± 2.3
All parameters	27.4 ± 0.7	67.9 ± 1.5	82.5 ± 1.9	88.3 ± 1.9

^a Accuracy as measured as predicted household locations occurring at measured household locations (titled 0).^b Predicted locations within 1, 2, and 3 cells (labeled 1, 2, and 3, respectively) of measured household locations.^c Uncertainties represent one standard error of the accuracies of the 20 simulations conducted for each scenario.

661 umes and decreased volumes. Landscape metrics and
 662 overall model accuracy also follow this trend (Table 3).
 663 The lowest number of patches occurred when the initial
 664 forest stand volumes was 45 m³/ha. Decreasing
 665 stand volume caused larger overall habitat loss, particularly
 666 the core area nearest to households; however, smaller
 667 peripheral impacts were more common. As initial stand
 668 volume was increased, the overall impact was diminished,
 669 however small pockets of impact emerged where more
 670 continuous impacts previously existed. These trends are
 671 clearly shown in the decreasing patch perimeter and mean
 672 patch size.

673 Fig. 4 shows a multitemporal comparison of the predicted
 674 32-year simulation of household activity and the measured
 675 forest cover within 5 km of all households. There appears
 676 to be a good correspondence between the model outputs
 677 and measured forest distribution. The basic trends in forest
 678 cover are comparable between measured and predicted
 679 distribution of forest

cover, though some differences from natural and other
 activities are apparent. In addition, the model was
 successful in capturing the basic trend in the distribution
 of households based only on the initial 1965 distribution
 of households.

Accuracy and sensitivity analyses were done to determine
 the overall validity of the model and the influence of
 individual parameters. The accuracy of predicted impact
 sites relative to measured impact also reflects more
 concentrated impacts as initial volume is increased (Fig. 5).
 As fuelwood activity is focused on core areas near
 households, model accuracy increases. At an initial stand
 volume of 30 m³/ha, the overall prediction accuracy is
 approximately 55%. As the volume increased to 90 m³/ha,
 model accuracy increased to 64% (Table 3). The increase
 in accuracy is largely a result of smaller areas being
 affected only near households and decreased influence of
 stochasticity in choosing distant fuelwood sites.

Table 3

Sensitivity of individual factors used within the fuelwood sub-model

Factor	Parameter	Number of patches	Mean patch size (ha)	<i>p/a</i> ratio	Connectivity index
Proximity*	0.33	125.2	75.8	1.668	0.719
	1	192.2	49.2	1.606	0.336
	3	291.5	32.7	1.538	0.170
Distance*	0.8	145.8	65.8	1.630	0.546
	1	192.2	49.2	1.606	0.336
	1.2	231.6	40.4	1.587	0.277
Initial volume (m ³ /ha)	30	211.4	51.9	1.567	0.365
	45	192.2	49.2	1.606	0.336
	60	258.7	33.6	1.540	0.212
	75	265.9	30.3	1.502	0.161
	90	246.3	30.5	1.502	0.167

Values in bold represent hypothesized values.

* The proximity and distance coefficients are unitless multiplicative factors.

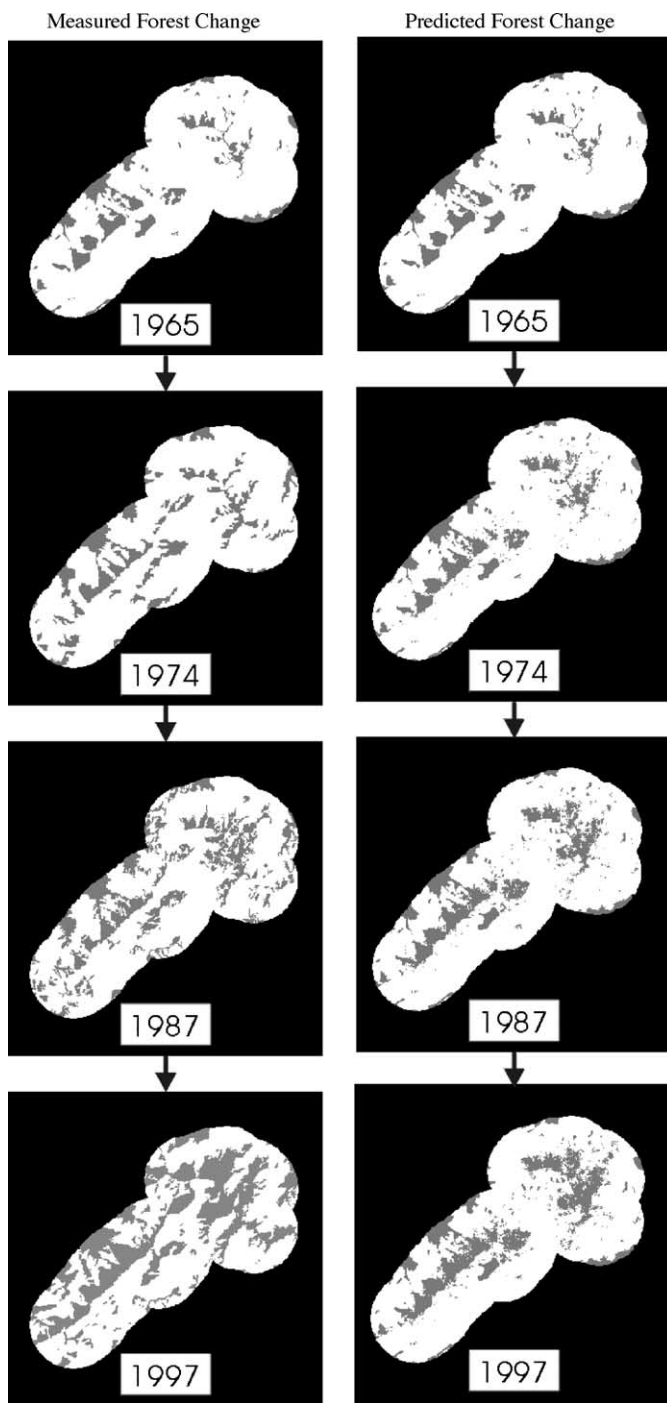


Fig. 4. Comparisons between visual classifications of satellite data from 1965, 1974, 1987, and 1997 and predicted forest cover due to household activities of corresponding years.

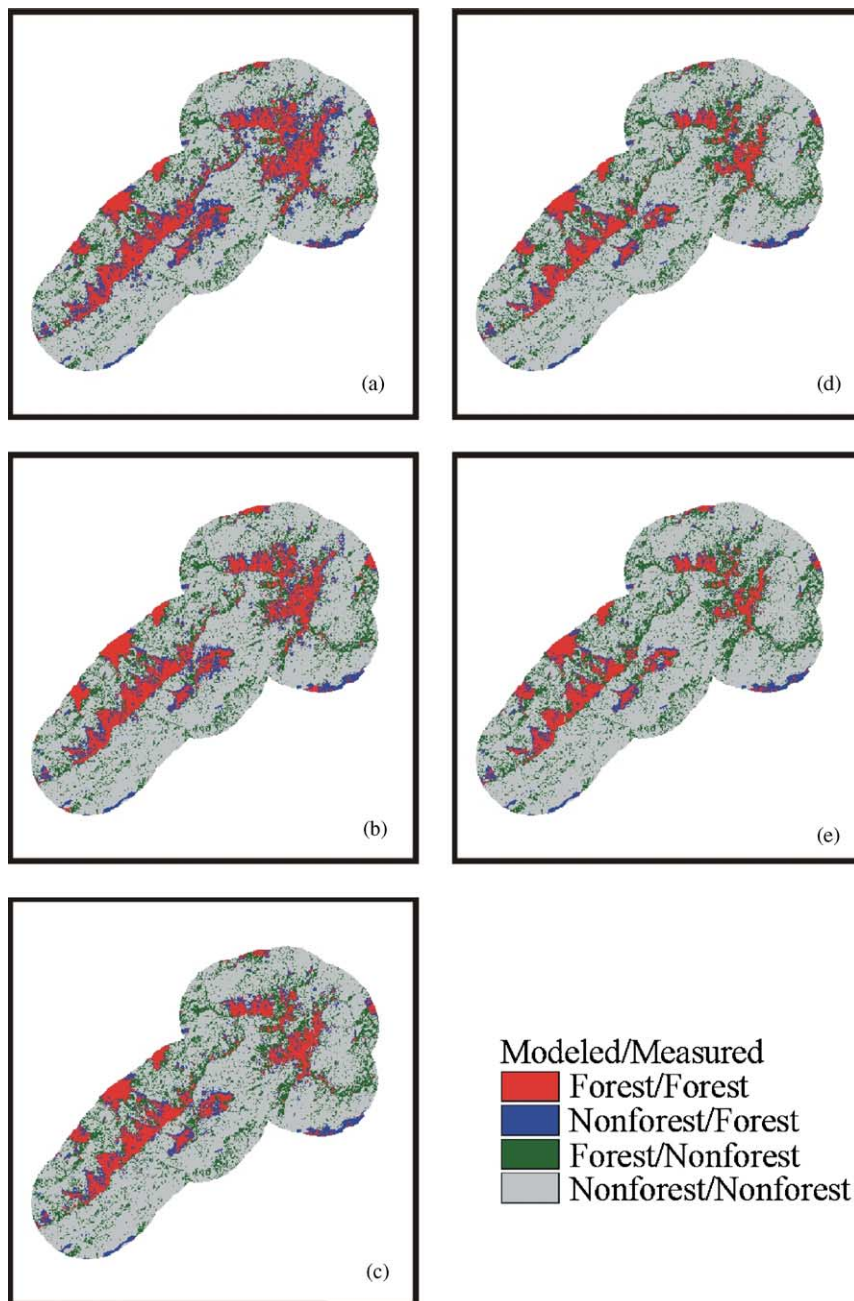


Fig. 5. Differences between predicted forest cover due to fuelwood collection compared to the digital classification at various starting volumes for low-elevation forests. Forest/forest and non-forest/non-forest categories represent agreement between predicted and measured forested and non-forested cells, respectively. The non-forest/forest category represents areas where the model predicted non-forest and the digital classification was forest. Forest/non-forest is the opposite case: (a–e) with starting volumes of 30, 45, 60, 75, and 90 m³/ha, respectively.

Table 4

The influence of household characteristics on habitat over 65 years (1965–2030) relative to a baseline scenario of 0 new households per year and 0 m³/year of fuelwood consumed after 1997

Household growth rate (households per year)	Fuelwood consumption (m ³ /year)	Change in total habitat (%)	Change in habitat < 2600 m of elevation (%)
0	0	0.00	0.00
24	0	-0.06	-0.18
24	5	-1.34	-3.79
24	10	-2.61	-7.36
24	15	-3.32	-9.33
24	30	-6.06	-15.84
-24	15	-1.84	-5.17
-12	15	-2.12	-6.16
0	15	-2.77	-7.74
12	15	-3.21	-8.99
24	15	-3.32	-9.33
36	15	-4.31	-11.74
12	10	-2.26	-6.41

3.2. Household impacts

Projected household impacts on panda habitat are shown in Table 4. Current levels of household creation and fuelwood consumption caused nearly an additional 10% habitat loss below 2600 m of elevation compared to conditions in which no additional households and fuelwood collection occurred after 1997. Across the entire reserve, an additional 3% of habitat was lost compared to no new household impacts after 1997. Levels of household fuelwood consumption were systematically varied from 0 to 30 m³/year to examine the influence of fuelwood consumption on habitat loss. An increase in fuelwood consumption after 1997 to 30 m³/year would result in a nearly 70% increase in loss of habitat from the current level of 15 m³/year. Over 6% of the reserve and nearly 16% of the low-elevation forest would be further impacted by doubling the consumption of fuelwood. Reducing fuelwood consumption by two-thirds reduced the loss of habitat below 2600 m of elevation by 59% compared to baseline scenarios. Forest re-establishment will only play a limited role over the next 30 years as re-establishment times are typically 30–50 years. In the next 30 years, habitat loss may largely be dictated by fuelwood consumption and increases in volume of current stocks. Therefore, a near cessation in fuelwood collection over the next 30 years

is required to maintain levels of habitat as measured in 1997.

New housing development did not have the same influence on the total habitat co-opted by households as fuelwood consumption levels did. A 50% increase in the number of new household starts resulted in a 26% increase in low-elevation habitat loss relative to baseline scenarios. Cessation of new housing development following 1997 still led to the loss of nearly 3% of the entire reserve and 8% of low-elevation habitat compared to scenarios with no new households and no fuelwood consumption following 1997. And a net removal of 24 households per year (the same number previously being added per year) only resulted in a 45% reduction in habitat loss compared to baseline scenarios. As seen from a 50% increase in household creation with no fuelwood collection, increased population and resulting household creation contributed little to habitat loss because considerable areas around households are already cleared of forest cover. Modest reduction in both future new housing development and fuelwood consumption (12 households per year and 10 m³/year) led to approximately 30% less habitat loss relative to current levels of new housing and fuelwood consumption.

4. Conclusions and discussion

HALIM was developed to examine the relationship of households to the landscape, to assess the influence of the landscape on household activities, and to provide a practical framework in which the interactions between households and the landscape can be simultaneously studied. The study does point out areas where further analyses are needed. For example, more detailed information on the biophysical characteristics such as total available biomass, growth rates, and efficiency in the conversion of biomass to fuelwood might contribute to the model. Except for the Corona photographs used for this study, very little information on the state of the forest in 1965 was available. However, comparing projections of household creation and fuelwood collection from 1965 to a time when there is more detailed information permitted a better estimate of forest conditions in 1965 and provided insight into factors contributing to habitat loss. Comparisons of predicted forest loss from 1965 to 1997 to measured forest conditions in 1997

769 for several scenarios of the average starting volume of
770 low-elevation forest further suggests that these forests
771 were already at relatively low volumes. The lower for-
772 est volume potentially magnified household impacts on
773 the forests since 1965. It is possible that large-scale log-
774 ging occurred concurrently with household fuelwood
775 collection from 1965 until 1975 or later. While timber
776 activities continued after 1975, researchers did not note
777 any large-scale commercial logging in the reserve from
778 1983 to the 1990s. Forest loss after 1975 until 1997 was
779 likely due to a combination of fuelwood collection and
780 fine-scale timber activities, and exacerbated by already
781 low-stand volumes from previous large-scale activity.
782 As these forests are increasingly lost, fuelwood activi-
783 ties are moving to higher elevation forests with increas-
784 ing losses of core habitat.

785 In addition, most decisions such as consumption
786 level, propensity to use alternative energy sources, em-
787 igration rates, and new household formations are made
788 at the household-level and are not explicitly modeled
789 in this study. Increasingly complex models can be de-
790 veloped within the framework and the influence of
791 household-level socio-economic information is being
792 examined. In addition, other economic and behavioral
793 drivers can be incorporated. However, using landscape-
794 level household factors linked to the landscape already
795 provided considerable insight into human impacts and
796 potential mitigation strategies. The model provided in-
797 sight into the historical trends and ecological conditions
798 of the reserve, the driving factors of land-cover change,
799 the potential consequences of household alterations of
800 land-cover on panda habitat, the spatial arrangement of
801 these impacts, and the intricate relationships between
802 households and landscapes. The trend toward incorpo-
803 rating household-level data into models may provide
804 more detailed information of these systems, but the ne-
805 cessity of such data to practically model household im-
806 pacts at the landscape level should be considered.

807 Using landscape-level data, the model was able to
808 predict household activities relatively accurately and
809 parsimoniously. The placement of new households is
810 explained by only four factors: distance to roads; prox-
811 imity to other households; slope; and elevation. Using
812 only these four factors; however, the model accurately
813 predicts household creation nearly 90% of the time
814 within 3 cells of the measured distribution of house-
815 holds. Fuelwood collection also is only based on a few
816 landscape variables: distance to roads, previous fuel-

wood collection locations, slope, and elevation. Again,
the model captures the trend in household reductions
in forest cover. The simplicity (e.g. four household cre-
ation factors) and success of the model suggest a core
set of landscape-level characteristics has a consider-
able influence on the spatial distribution of household
activities.

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HALIM also provided a means to examine the role
of household characteristics on possible future impacts
to giant panda habitat. Households were present in the
reserve prior to the establishment of the current major
transportation routes. New roads and the introduction
of mechanized transportation have likely led to growth
in agricultural activity along these routes and increased
access to forests near roads away from households. In
addition, as the reserve is situated in a mountainous
area, topography plays a significant role in shaping the
spatial distribution of household activities. Farming re-
quires relatively flat land and easy access to transporta-
tion. In comparison, fuelwood collection is less depen-
dent on the quality of collection sites than the cost factor
of the distance to roads, the slope, elevation change, and
overall accessibility of the location of collection sites.

Also, considerable changes in fuelwood consump-
tion and/or household creation rates are required to
maintain the current area of forest. While an increase in
housing development itself led to only small decreases
in forest area, even limited fuelwood consumption re-
sulted in relatively large habitat losses. As most new
households are being constructed on previously cleared
land, the placement of new households is not likely
to directly cause further loss of forest. However, even
small amounts of fuelwood required for the large num-
ber of households already in the reserve has a greater
impact on forest cover. These results are similar to es-
timates as measured by Liu et al. (1999) who showed
that relatively high rates of emigration were necessary
to restore habitat and suggested that most efforts should
focus on reducing fuelwood collection and providing
alternative energy sources for the current households
while providing viable means and incentives to encour-
age emigration.

HALIM provides a basic framework that has prac-
tical application for human-dominated or -influenced
landscapes. The model incorporates households di-
rectly into landscapes alongside naturally occurring dy-
namics and examines the influences of the landscapes
on household activities. In addition, the method used

is flexible enough to allow the integration of additional human and landscape components such as the more detailed socio-economic information discussed above and other natural processes such as household impacts on understory bamboo dynamics. This approach provides a useful means to better understand and predict impacts of households on wildlife habitat and interactions with the landscape.

Uncited references

De Wulf et al. (1988), Johnson et al. (1988), and Schaller (1987).

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Appendix A

Sub-model probability functions and description of parameters and factors

Sub-model	Parameter	Factors
Household (<i>h</i>): $P(h ab, t, p)$	Local abiotic factors (ab): $P(h ab) = P(s)P(e)P(a)$ Transportation (<i>t</i>): $P(h t) = clamp(1 - cost/max)$ Proximity to existing households (<i>p</i>): $P(h p) = P(d)$	(See Appendix B) Cost = distance × impedance Distance = horizontal + vertical distance Impedance = $f(\text{slope})$ Max = 2000 m (maximum household distance) Distance factor (<i>d</i>): {1.0 ($d < 90$ m)} {0.1 ($d < 200$ m)} {0.01 ($d > 2000$ m)}
Fuelwood (<i>f</i>): $P(f a, d, p)$	Availability (<i>a</i>): $P(f a) = P(v)$	Volume (<i>v</i>): {1 ($v > 0$ m ³)} {0 ($v = 3$ m ³)}

Appendix A (Continued)

Sub-model	Parameter	Factors
Forest cover: $P(c g, r)$	Cost function from household to collection site: $P(f d) = \text{clamp}(1 - \text{cost}/\text{max})$	Cost = distance \times impedance Distance = horizontal + vertical distance Impedance = $f(\text{slope, road})$ Max = 9000 m (maximum fuelwood collection distance)
	Proximity to previous collection site (p)	Distance factor (d): {1.0 ($d \leq 90$ m)} {0.1 ($d > 90$ m)}
	Growth (g): $P(g v) = P(v)$	Volume (v): {1 ($v < \text{maximum, m}^3$)} {0 ($v = \text{maximum, m}^3$)}
Habitat	Re-establishment (r): $P(r a) = P(\text{cut age})P(e)P(p)$	Cut age: normal temporal Pdf(cut age, 10.0, 2.0) Elevation (e): {1 ($e \leq \text{max species elevation}$)}; {0 ($e > \text{max species elevation}$)}
	Suitability	Proximity (p): {1 ($p < 1/2$ max species re-establishment distance)}; {0.5 ($p < 1$ max species re-establishment distance)}; {0.1 ($p > 1$ max species re-establishment distance)}
		Slope, elevation, aspect, and forest cover

Appendix B

Empirically derived probabilities of household location from abiotic factors

Sub-model: Local abiotic factors, $P(h|ab) = \underline{P}(s)P(e)P(a)$

Parameter: Slope (s): $P(ab|s) = P(s)\{0.0 (s > 50^\circ)\}\{0.09 (s > 40^\circ)\}\{0.23 (s > 30^\circ)\}\{0.63 (s > 20^\circ)\}\{0.86 (s > 10^\circ)\}\{1.0 (s \leq 10^\circ)\}$

Aspect (a): $P(ab|a) = P(a)\{0.14 (a > 315^\circ)\}\{0.24 (a > 270^\circ)\}\{0.26 (a > 225^\circ)\}\{0.35 (a > 180^\circ)\}\{1.0 (a > 135^\circ)\}\{0.56 (a > 90^\circ)\}\{0.30 (a > 45^\circ)\}\{0.14 (a \leq 45^\circ)\}$

Elevation (e): $P(ab|e) = P(e)\{0.00 (e >$

$2500)\}\{0.08 (2250 < e \leq 2500)\}\{0.82 (1750 < e \leq 2250)\}\{1.00 (e \leq 1750)\}$

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